Evolving the JVM: Principles and Directions

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JVM Vision, circa 1995

Theory: JVM is not just for the Java language

- "The Java virtual machine knows nothing about the Java programming language, only of a particular binary format, the class file format."
- "Any language with functionality that can be expressed in terms of a valid class file can be hosted by the Java virtual machine."
- "In the future, we will consider bounded extensions to the Java Virtual Machine to provide better support for other languages."
 - JVM Specification First Edition (1997), preface and §1.2
 - also reaffirmed in the preface to the <u>J2SE 7 Edition</u> (2013)

JVM Status, circa 1995

Practice: Some instructions have fixed, Java-like semantics

- invoke* instructions
 - Linkage is static; once done, cannot be re-done
 - Single-dispatch, receiver-based selection
 - Single inheritance of implementation
 - No argument or return type adaptation
 - Limited return types (multiple values, but only via heap)
- Serious pain point for language implementors
 - Workarounds exist, with pain (reflective dispatch and/or adapters)

JVM Vision, circa 2009

The down payment: JSR-292

JSR-292 opened up method dispatch to arbitrary linkage semantics

- invokedynamic: extensible invocation mode
- MethodHandle: access to all previous invocation modes
- guardWithTest, etc.: MethodHandle composition operators
- (Mutable)CallSite, SwitchPoint: options to re-link calls
- Result: Less pain. Invocation sites can be shaped, not worked around.
 - Caveat: Multiple return values are still a pain.

JVM Status, circa 2013

 "In the future, we will consider bounded extensions to the Java Virtual Machine to provide better support for other languages."

- JVM Specification First Edition (1997), preface
- "The Java SE 7 platform in 2011 made good on [this] promise."
 - JVM Specification, <u>J2SE 7 Edition</u> (2013)





Great start!

- What's next?

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JVM Vision, 2014

Let's find some more pain points, where JVM semantics...

- ... align too rigidly with Java language semantics,
- ... fail to align closely with modern hardware,
- ... or impose excessive costs in some other way.
- It appears we can relieve major pain points.
 - Improve simplicity and performance for new users
 - Retain compatibility and performance for present users



JVM Pain Points (for language implementors)

Pain Point	Tools & Workarounds		Upgrade Possibilities
Names (method, type)	mangling to Java identifier	rs	unicode IDs √1.5/JSR-202, structured names
Invocation (mode, linkage)	reflection, intf. adapters		indy/MH/CS ✓1.7/JSR-292, tail-calls, basic blocks
Type definition	static gen., class loaders		specialization, value types
Application loading	JARs & classes, JIT comp	oiler	Jigsaw, AOT compilation
Concurrency	threads, synchronized	+ sun	Streams ✓1.8/JSR-335, Sumatra (GPU), fibers
(Im-)Mutability	final fields, array encap.	.misc	VarHandles, JMM, frozen data
Data layout	objects, arrays	.Unsa	Arrays 2.0, value types, FFI
Native code libraries	JNI	afe	Panama

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Which language implementors?

...four letter word ...starts with 'J'

- Java is improving in each release
 - With great care and deliberation
 - Selective "sedimentation" of proven features (Reinhold)
 - The JVM evolves with the language
- The JVM also evolves with the underlying hardware
 - Sedimentation process is not just language-driven
- JVM design addresses a broad range of languages and hardware
- Wider applicability interests more people, applies more brainpower

When extending the JVM...

(some major principles)

- Never break old bytecodes
 - gate new behaviors on class file version number
 - new features must not interfere with old ones, even in new class files
- New mechanisms are supersets of old ones (wherever applicable)
 - e.g., invokedynamic provides complete access to old "bytecode behaviors"
 - overheads are collapsed by the compiler; no built-in "simulation overheads"
 - JVM users need not choose *clean* vs. *fast, expressive* vs. *compatible*
- Design to "categorical" language and machine capabilities

Some current JVM initiatives

- Project Valhalla <u>http://openjdk.java.net/projects/valhalla/</u>
 - Value Types aggregates without identity
 - Specialization templated types on demand
 - JMM Update VarHandles
- Project Panama <u>http://openjdk.java.net/projects/panama/</u>
 - Arrays 2.0 flexible array implementation and organization
 - Layouts flexible object layout
 - FFI better native code interop

What's in a value?

(word history first, as found in http://etymonline.com)

- value (n.) c.1300, "price equal to the intrinsic worth of a thing;" late 14c., "degree to which something is useful or estimable,"
- ... from Latin *valere* "be strong, be well; ... worth"
- Indo-European root *wal- "be strong"
- cognates: Old English wealdan "to rule," Old High German -walt, -wald "power" (in personal names), Old Norse valdr "ruler," Old Church Slavonic vlasti "to rule over," Lithuanian valdyti "to have power," Celtic *walos- "ruler," Old Irish flaith "dominion," Welsh gallu "to be able"

Blast from the past: Sumerian accounting

http://blogs.utexas.edu/dsb/tokens/the-evolution-of-writing/

- Mobile handheld
- Message based
- Token passing
- Polymorphic
- Secure envelope
- Linear (B) logic
- Silicate substrate
- Sub-cm process



...method and apparatus for symbolically transmitting objects of value...

Subsequent refinements

http://magazine.uchicago.edu/1102/features/the_origins_of_writing.shtml



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Subsequent refinements

http://magazine.uchicago.edu/1102/features/the_origins_of_writing.shtml







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What is a value, for computers?

- Any indication of quantity or quality, something like a symbol.
 - Chosen from a fixed set of alternatives (dynamic range, alphabet).
- Values can be recorded and copied at negligible cost.
 - Like written letters. Unlike clay tokens or coins.
- All such values (symbols) can be resolved to bits. (Shannon, 1948)
 - They also occupy channels: Clay, paper, media, ether, cache lines.
- In the setting of the JVM, a managed pointer, after "new", is a value
 - pre-existing managed pointer = special kind of bits.

Values, objects, and immutability.

Object	Immutable Object	Value

(exaggerating to make a point here)



Values in the JVM

- So, we have value types already: Primitives and references
 - Yes, a reference is a value.
 - But (according to our working definitions) most **objects** are **not** values.
- The main problem with JVM values is composition (composite values)
 - Needed when the primitives are not quite right: BigInteger, Complex
 - JVM composites, **objects**, are expensive to construct
- Another problem is control of concurrent side effects (JMM)
- Simple answer: Make pointers optional

Pointer-free programming in the JVM

The restrictions

- No locking
- No identity comparison (or, if forced, loose specification)
- No identity hash code
- No cloning
- No finalizer
- "null" is not a value
- No visible side effects
- No sub-class-ing (subtyping via extension)

Example: a value-based class

```
(N.B. this is not a value type, yet)
```

```
final class Point {
   public final int x;
   public final int y;
   public Point(int x, int y) {
```

this.x = x;

this.y = y;

```
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```

}

}

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Value-based classes

- Let's just pretend the pointer isn't there!
 - And hope the optimizer gets the idea
 - (And the GC. And reflection. And...)
- A "value-based" class is defined as one whose pointer is negligible <u>http://docs.oracle.com/javase/8/docs/api/java/lang/doc-files/ValueBased.html</u>
- But we need a way to promise the JVM that it can always optimize
- This means new types, not new optimizations on old types

Example: a value type

(same as the previous class, with a little more markup)

```
final __ByValue class Point {
    public final int x;
    public final int y;

    public Point(int x, int y) {
        this.x = x;
        this.y = y;
    }
}
```

Example: methods on a value type

```
final __ByValue class Point {
    .....
    public boolean equals(Point that) {
        return this.x == that.x && this.y == that.y;
    }
    private static String strValueOf(Point p) { ... }
    public String toString() { return strValueOf(this); }
}
```

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Codes like a class, works like an int!

http://cr.openjdk.java.net/~jrose/values/values.html

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Example: coding with values

```
static final Point ORIGIN = __MakeValue(0, 0);
static Point displace(Point p, int dx, int dy) {
    if (dx == 0 && dy == 0)
       return p;
    Point p2 = __MakeValue(p.x + dx, p.y + dy);
    assert(!p.equals(p2));
    return p2;
}
```

Pointer-free values in the JVM

The permissions

- Customized boxes are available (at nominal cost)
- Whole values can be assigned
 - Structure tearing is controlled: Nothing halfway between 'A' and 'B'.
- Methods and fields can be defined (public/package/private)
- Via the boxes, all the comforts of objects:
 - Object.toString etc.
 - Interfaces: Comparable, etc.
 - Reflection

Y U No Make Syntax Beauty?

- ____ByValue and ____MakeValue are calculated, blatant bad form
 - Lest anyone suppose we are proposing a source code notation
 - It is too early to define Java language syntax
- JVM folk need to define a bytecode syntax independently of JLS
 - Hey, JLS doesn't define a syntax for the invokedynamic instruction either
- Lots of syntax choices involving ASCII and Unicode
 - JVM folks are deeply interested in syntax of UTF-8, u1, u2, u4, etc.

Selected details

(see values.html for many, many more)

- An array of values is not a subtype of an array of references
 - Works like an int, so like int[] or long[], flattening is expected.
- A value type is distinct from its (unique) box type
 - Auto(un)boxing rules apply to values as well as primitives
- Primitives are not exactly value types, but act like them
- A value field can be of almost any type, but must be final
 - Values should not have lots of fields, though limits are lax
 - No variable-length or recursive values. (Use a reference field.)

Some use cases

(see values.html for more)

- Numerics: complex, decimal, rarely-big-num, etc.
- Native types: int128_t, vectors, unsigned, safe native pointers
- Algebraic data: optional (no box), choice-of, unit (no bits)
- Tuples: multiple-value return! (requires specialization machinery also)
- Cursors: unboxed iterators, STL-style bounds
- Flat data: values naturally represent pointer-poor data structures
 - Caveat: values are not structs.

Arrays 2.0 – degrees of design freedom

A million ways to roll an array

- Rank and size (dimensions)
- Index type (int, long, other?)
- Element storage (type)
- Locality design (row- or column-major, chunked, nested)
- Managed vs. native
- Loops (elemental ops, linear algebra, streams, for-loop, etc.)
- Element variability (read-only, single write, concurrent update)
- Structure variability (append, insert, delete, etc.)

Sometimes freedom can slow you down!

For real freedom, define the ingredients, not the menu

- Remember that restaurant with the 20-page menu?
 - Better to select from a short list of fresh and healthy ingredients
- Use interfaces to create clean APIs (specialization will help also)
 - Use classes to cleanly layer implementations
- Supply a few low-level storage tactics, following hardware & GC design
- Let the library experts (JVM customers) invent the detailed recipes

Needed: a well-appointed kitchen

- Classic arrays: Flat, 1-d, mutable (except frozen), non-concurrent
- A couple of internal chunking styles, optimized for flatness
 - Blocked array (all element types, including multi-field value types)
 - Low-arity B*-tree nodes
- A few concurrency strategies (includes struct-tearing protection)
 - Final/persistent, fenced/concurrent, divide-and-process
- Beyond that, classes & interfaces can build & defend new APIs
 - Syntax alert: Might want abstract array notations a[i] = x

A note on scale

- N.B. Galaxy-sized contiguous arrays are an anti-pattern
 - 32 bits is almost large enough, for implementation blocks.
- Terabyte scale logical arrays should be segmented physically.
 - Segmentation should not appear to the user
 - Except perhaps via spliterators
- The most important locality is at the scale of a cache line (LSU)
 - Or perhaps a few hundred of them (HW/SW prefetch)

API notes

Standard operations (access)

- basic operations drawn from java.util.Arrays (binary search, sort, etc.)
 - sub-array, sub-matrix (aliased views, cf. List.subList)
 - element, row, column streams and/or collections
- array as matrix, matrix as array views (cf. APL reshape)
 - array and matrix gluing (aliased views, border creation)
- these can be defined as default methods
 - but can be specialized as needed

API Notes (2)

Standard operations (element processing)

- standard bulk (whole-array) arithmetic (cf. APL)
- transpose or reshape
 - as copy (not aliased)
 - as view (aliased)
 - in place (potentially overwrites representation)
- selected linear algebra operations on vectors, matrices



More JVM heap support for arrays

A few new tricks for managed memory

- Frozen arrays (safe immutability)
 - Requires a freeze() method to go with the clone() method
 - A few well-placed freeze() calls enable chains of copy-elimination
 - Must be a dynamic property of classic arrays, not a new kind of array
- Mixed arrays (It's an object! No, it's an array!)
 - Objects with inlined private arrays; **not** a subtype of classic arrays
 - Safe version of the C trick of a struct with trailing zero-length array
 - Requires special factory-style constructors (new;<init> consider harmful)
- Maybe, something with a more programmable mix of bits and refs.

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Native interconnect

- Native access between the JVM and native APIs
 - Native code via FFIs (JNR is starting point)
 - Native data via safely-wrapped access functions
 - Tooling for header file API extraction and API metadata storage
- Wrapper interposition mechanisms, based on JVM interfaces
 - add (or delete) wrappers for specialized safety invariants
 - value and view transformation
- Basic bindings for selected native APIs

Foreign layouts

- Native data requires special address arithmetic
 - Native layouts should not be built into the JVM (sorry, no native classes)
 - Native types are unsafe (hello, C!), so trusted code must manage the bits
- Solution: A metadata-driven Layout API
- As a bonus, layouts other than C and Java are naturally supported
 - Network protocols, specialized in-memory data stores, mapped files, etc.

Synergy with other JVM initiatives

- Arrays 2.0: Native arrays can be presented using array APIs
- Value Types: Can efficiently carry native types: complex, int128
- Specialization: Native type management, but not built into the JVM
- Invokedynamic: Native linking rules, but not built into the JVM
- Sumatra: Effective binding to fast moving GPU-related APIs.

To GC or not to GC?

- Some high-end customers need "wired" buffers in native heap
 - Native interconnect can help place this data where it's needed.
 - Arrays 2.0 APIs make it look clean.
- Low-level access methods (based on Unsafe) can reach both sides
 - Allows some temporary quasi-values to be buffered on the managed heap
 - Allows code to be written without a native/managed committment
- Thread-scoped temporaries will need new mechanisms
 - There are good options available using try-with-resources

There's lots more on the distant horizon

- More hooks to vary hardwired JVM behaviors (see specialization!)
- Bytecode design cleanups (many small ones)
 - But, we are trying to avoid a complete classfile format overhaul
- New tools for statically processing code and data (jlink)
- Lighter alternatives to threads (coro and/or fibers and/or GPU SIMD)
 - (J. Rose is still looking for a compelling design for the JVM.)
- Tail-call, plus reification of basic block states as MHs for tail-calling

Java Language-VM co-evolution

Where to put new features?

- When we implement a language feature, we could...
 - Do it all in the front-end compiler
 - Generics, checked exceptions, autoboxing
 - Do it mostly in the VM
 - New bytecodes, constant types, classfile attributes, privileged runtime, Unsafe
 - Front-end compiler is just syntax for VM features
 - Mix and match
 - VM provides sensible low-level building blocks
 - Front-end compiler uses building blocks to implement feature
- The trick is finding the right balance
 - Minimize impedance mismatch between language and VM
 - ...without exporting "language problems" onto the VM



Language-VM co-evolution

The balancing act

- Try to balance
 - Keeping Java language complexity from impinging on VM complexity
 - Avoiding impedance mismatch between language and VM
- How to win: find key language-agnostic VM/JDK improvements
 - Example: Lambda metafactory
 - Other compilers are free to use or not
- What not to do: push Java's wildcards into VM type system
 - A naïve version of reification would do this

Java Language Initiatives

Specialized generics (generics over primitives and value types)

- On-the-fly adaptation of classes and methods
- Motivates some new general-purpose VM features
 - classdynamic programmatic class generation
 - Possibly "method missing" support
- Value types (and tuples)
 - Builds on VM support
- Atomic and fenced data access
 - VarHandle

Motivation

- Refresher: why value types?
 - Smaller footprint (no object header)
 - Better locality (no dereference)
 - Simpler semantics (no identity, no aliasing worries)
 - Lower operational impact (no allocation and GC)
- Don't make user choose between abstraction and performance

Motivation

- The same reasoning applies to generics
- Consider ArrayList<Integer>
 - Lots of boxing, extra footprint, loss of locality, possible aliasing
 - Yuck!
- User really wants ArrayList<int>
 - And have it backed by a real int[]
- Its bad enough we have eight types that don't play nicely with generics
 - When we have value types, more than half our types wouldn't
 - Would undermine the usefulness of value types

Basic Idea

- class Box {
 Object val;
 public Box(Object val) { this.val = val; }
 public Object get() { return val; }
 }
- Given a class Box<T>
 - Currently, erase T to Object
 - And insert casts at use site
- To specialize Box<int>, we would need different signatures

}

 Conclusion: we can cheat by using one class for ref types, but this trick does not scale well to non-reference types

```
class Box<int> {
    int val;
    public Box(int val) { this.val = val; }
    public int get() { return val; }
```

- Two choices: try to unify, or admit reality



Basic Idea

- Converting Box.class for T=int is not just mangling signatures
 - Mangle the bytecodes too!
 - Also, Box<int> does not extend Box
- Classfile representation annotated to reflect which signatures and bytecodes need adjustment for specialization

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```
class Box extends Object {
private final Object*T t;
public Box(Object);
  Code:
   0:
        aload 0
   1:
        invokespecial
                        #1; //Method Object."<init>":()V
   4:
        aload 0
        aload 1*T
   5:
        putfield
   6:
                    #2; //Field t;
   9:
        return
Public Object*T get();
  Code:
   0:
        aload 0
   1:
        getfield
                    #2; //Field t;
   4:
        areturn*T
}
```

Classfile representation

- Specializations should be generated on the fly, as needed
- Classfile serves double-duty
 - Directly loadable as erased class
 - Can be used as a template for generating specializations
- Need a way to write "Box<int>" in a classfile
 - But don't want to impart semantics to naming convention like Box\${T=int}
- Box<int> really means "The result of applying the specialization transform, with parameters T=int, to class Box"
 - Can we somehow write that in the classfile?

Classdynamic

Invokedynamic for class generation

- The previous description sounds a lot like an indy callsite!
 - Bootstrap = specialization transform
 - Static args = class to specialize plus type substitutions
 - Together, these compose a *structural description* of a class
 - Type uses are compared structurally: the same if bootstrap and static args are the same

Classdynamic = structural description of a dynamically generated class

Classdynamic

Invokedynamic for class generation

- Strawman: create a new constant pool type, dynamic class
 - Whose structure looks like a bootstrap + static args
 - Allow dynamic class wherever nominal type uses are allowed
 - (Actual classfile representation is TBD)
 - Expository notation: classdyn { bootstrap(args) }
 - So List<int> would be written as
 - classdyn { JavaSpecializer(List, int) }
- VM knows nothing about semantics of any given bootstrap
 - But there may be agreement between compiler and bootstrap

Classdynamic

Classdynamic can represent any mechanical class transform

- Generic specialization (if the underlying class is suitably annotated)
- Dynamic proxies
- Synchronized wrappers
- Forwarding proxies
- Unreflectors
- Tuples (*)
- Function types (*)
- Moves code generation from compile time to runtime

*Even better if classdynamic can generate value types

Specialization of Generic Methods

- Java also supports generic methods
 - Need a mechanism for specialization of them too
 - Example: <T> T identity(T t) { return t; }
- Same challenges as class specialization, and then some
 - Adding new methods to existing classes is painful
 - We *could* statically generate specializations for all primitives
 - But this would fall apart for value types
 - So need a mechanism for hooking into nominal method linkage

Specialization of Generic Methods

"Method Missing" handlers?

- Many systems have a mechanism for providing last-ditch nominal linkage when traditional resolution fails
 - Generic method specialization can be implemented with a traditional "method missing" handler
 - Method-missing handler would be associated with a class, would consume a signature and produce a MethodHandle
 - Search order would follow usual inheritance order
 - Interaction with reflection ... TBD
- Alternately, specialized generic methods could be invoked with indy
 - Much simpler, but less flexible

Specialization Challenges

- Suppose I write a generic class ArrayList<any T>
 - Can I provide a hand-written replacement for ArrayList<boolean> ?
 - Can I do the same for a single method (e.g., hand-written version of ArrayList.contains() for T=int?)
 - Can I do the same for a specific instantiation of a generic method?
 - Can I add a sum() method to ArrayList<int>, that is not a member of other instantiations of ArrayList?
- Not being able to do these things would be a big limitation
 - Sometimes generic code is *too* generic

Specialization Challenges

Extending generics to primitives/values brings new challenges

- Can't assume "T extends Object"
- Can't assume "T[] extends Object[]"
 - But ArrayList still need some means of expressing "new T[]"
- Can't assume **null** is a valid value for T
 - Bad news for Map.get()
- Can no longer overload remove(T) with remove(int)

Conditional Methods

```
class ArrayList<any T> {
    ...
    <where T=int> int sum() { ... }
    <where T=long> long sum() { ... }
}
```

- Most of these challenges can be met with conditional methods
 - These are methods that only appear in some instantiations
 - They can be new methods or override existing methods
- Methods marked by special attributes
 - Ignored during ordinary class loading
 - Acted on by specializer (include the method or not, depending on T)
- Can be conditional on T=primitive, "T is a reference", "T is a value"
 - Maybe on "T extends bound", maybe not

Value Types

Value types are heterogeneous aggregates, like classes

- Borrow many concepts from classes methods, fields, etc
- Declared like classes with restrictions
- No inheritance

 No mutation
 No cyclic containment

 Syntax still TBD!

 Value_class Box<any T> {
 T val;
 T val;
 public Box(T val) { this.val = val; }
 public T get() { return val; }
 }

Value Types

- The sweet spot for value types are those aggregates that benefit from being passed ... by value
 - Small tuples
 - Alternate numerics (complex, unsigned int)
 - Algebraic data types (Optional<T>, Choice<T,U>)
 - Cursors
- Motivation: don't force users to choose between safety/abstraction and performance
 - Optional<T> provides a lot of type safety but shouldn't cost anything

Open question: value polymorphism

- In the current design, value types are not polymorphic
 - This may well be too limiting
- Considering a limited form of value polymorphism
 - Something like interfaces for values
 - But without boxing, identity, or heap allocation
- Example: Arrays 2.0
 - Many kinds of array representation
 - Would be nice if we could treat them all as Array<T>
 - While still allowing an array reference to be a value

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Challenge: Migration Compatibility

Some classes today, like Optional<T>, should have been values

- Will we be able to migrate these to be real values in the future?
 - If the answer is "no", that would be sad
- These classes already disclaim use in an identity-sensitive fashion
- Can we migrate Ljava/util/Optional; to Qjava/util/Optional; compatibly?

Tuples

Really just classdynamic + value types!

- Tuples are an obvious application for value types
 - Tuple of T,U can be represented by classdyn { Tuple(T, U) }
 - Tuple bootstrap spins a simple value class with fields of the given types
 - Two tuple classes are the same if their component types are the same
- To represent in the Java language, "all" we need is:
 - Means of denoting type "tuple of T,U" (e.g., [T,U], Tuple<T,U>, etc)
 - Means of constructing a tuple value from components (e.g., [e1, e2])
 - Means of destructuring a tuple into its components (e.g.,
 - [a, b] = aTuple)

Tuples

Not just for the Java language!

- Recall central challenges of language-VM co-evolution
 - Minimize impedance mismatch between Java language and VM
 - When we evolve the VM for Java, make sure others can play too
- These tuples build trivially on general-purpose VM building blocks
 - Value types and classdynamic
 - (Exact same trick can be used to generate function (arrow) types)
- We can put java.lang.Tuple bootstrap into the platform runtime
 - Others are free to use or ignore it
 - If they use it for their tuples, cross-language interop comes for free

VarHandles

Method handles for data

- Currently, support for atomicity and fencing is limited
 - Accesses to volatile fields automatically fenced, others not
 - Fenced operations and atomic operations (CAS) available through Unsafe
 - Is it time to bring these into the programming model "for reals"?
- VarHandle is like method handles for data
 - Abstracts over location static fields, instance fields, array elts, off heap
 - Supports explicit fenced and atomic operations
- Safer than Unsafe, as fast as MethodHandle
 - Maybe will add language support, maybe VarHandle API is enough

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Summary

Common theme: more flexible access to data

- Value types aggregation without indirection
- Arrays 2.0 flexible data layout
- FFI access to off-heap data
- Generic specialization bring the benefits of value types to generics
- VarHandle more flexible, high-performance access to variables
- Time to stop making programmers choose between expressiveness/ abstraction/safety and performance