Oracle Code One 2019

G1 and ZGC: A Look into the Progress of Garbage Collection in Java

[DEV4459]

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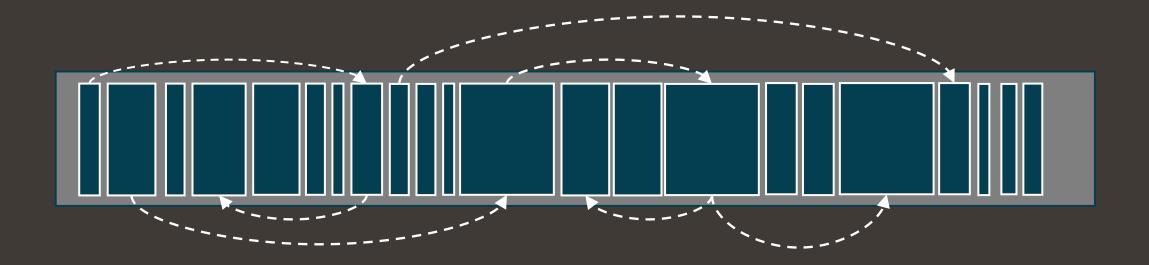


Agenda

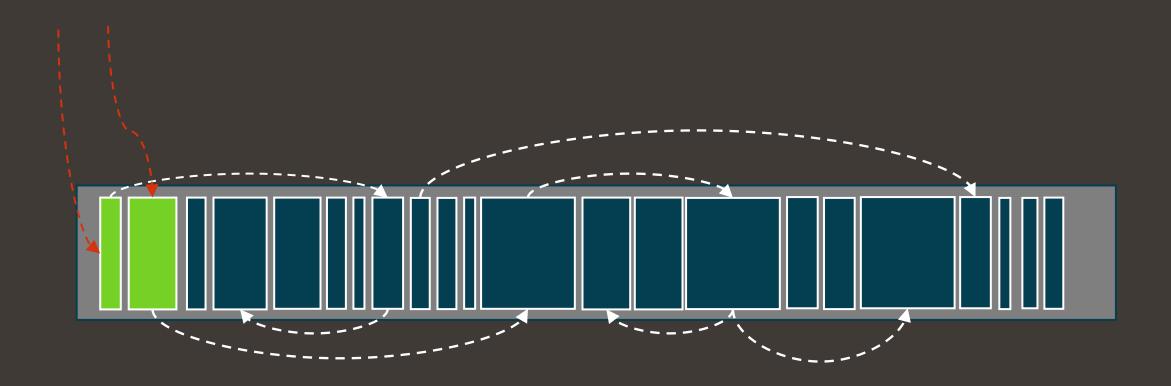
- Introduction to garbage collection
- GC tradeoffs
- G1
- ZGC



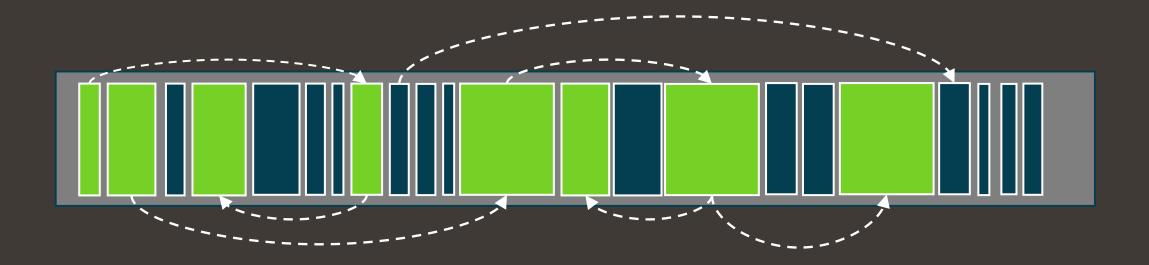




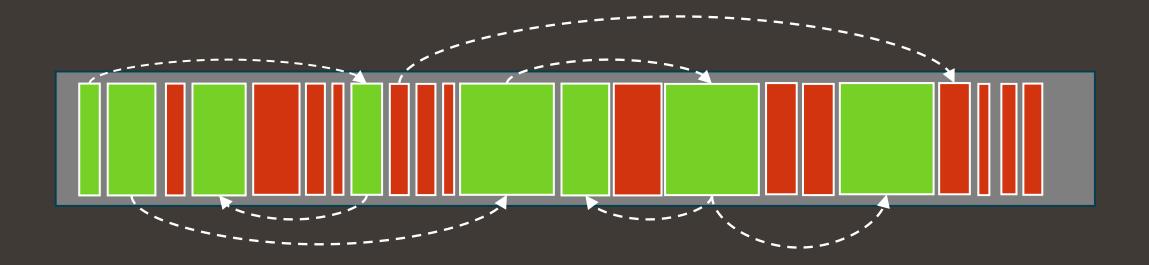




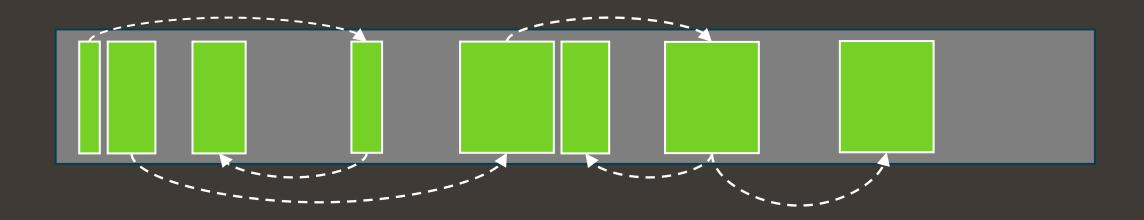




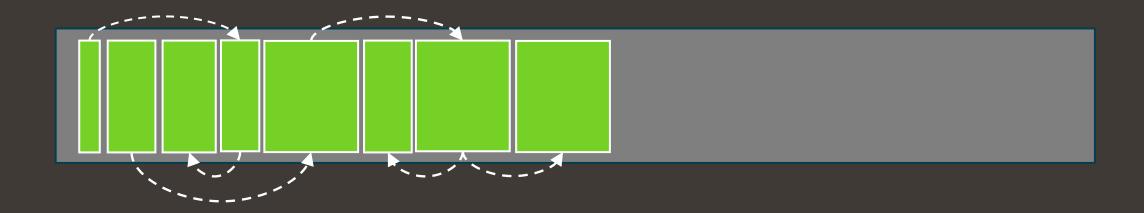














Collectors in the JVM

GC	Optimized For
Serial	Memory Footprint
Parallel	Throughput
G1	Throughput/Latency Balance
CMS	Latency
ZGC	Scalability/Low Latency



G1

The G1 Garbage Collector

The default garbage collector since JDK 9

- First introduced in 6u14
- Supported since 7u4

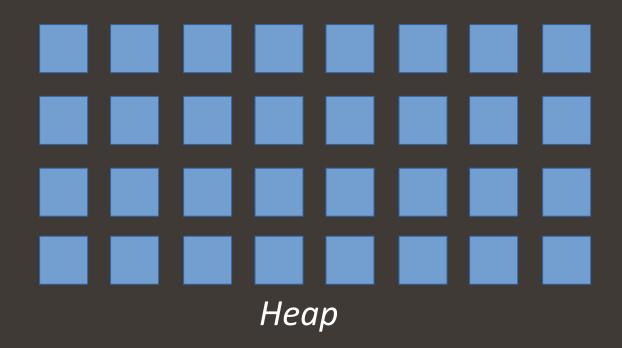
The goal: throughput and low latency

The default pause target for G1 is 200 milliseconds

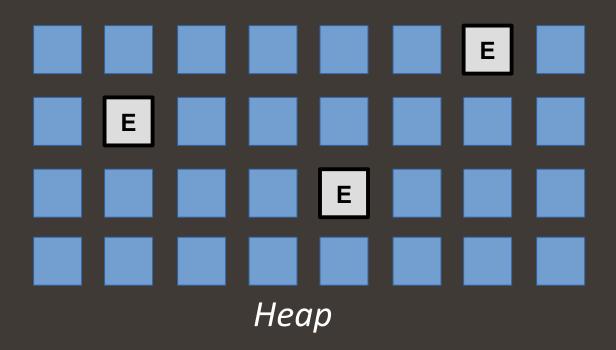
- Higher pause goal → more throughput, higher latency
- Lower pause goal → less throughput, lower latency



- The heap is split into multiple regions
- Region size depends on heap size, e.g. 2 MB for 4 GB heap

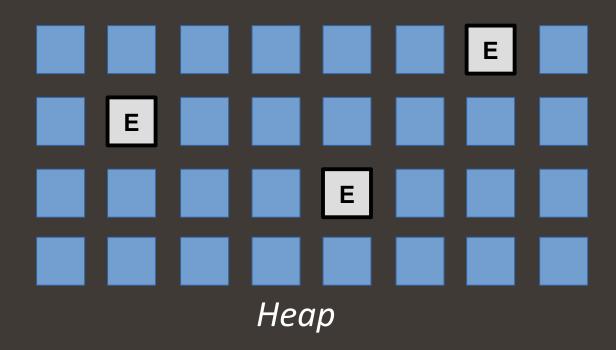


New objects are allocated into eden (E) regions

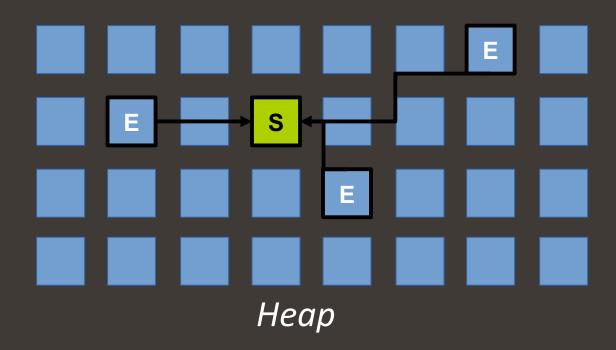




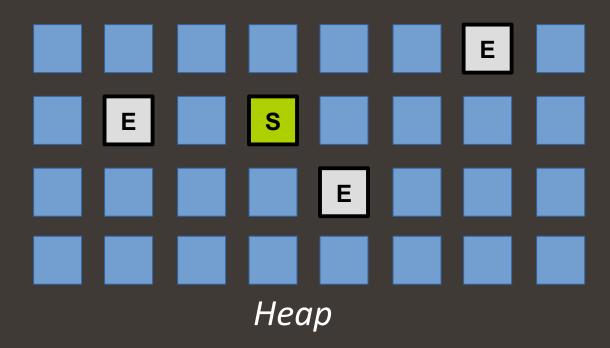
 A young collection happens after a number of eden regions have been allocated



 Young collections compactly copy live objects in eden regions to survivor regions (S)

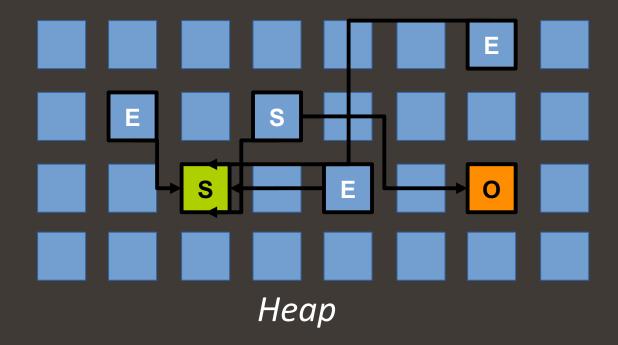


Objects will then continue to be allocated in eden regions

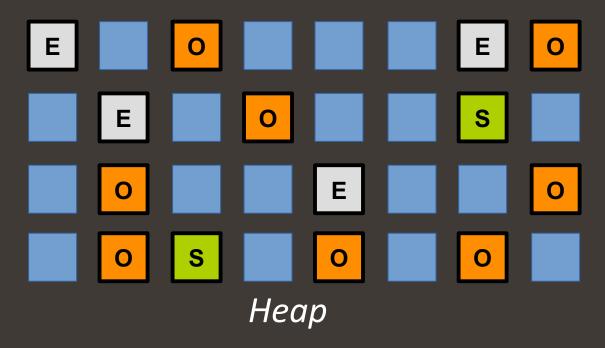




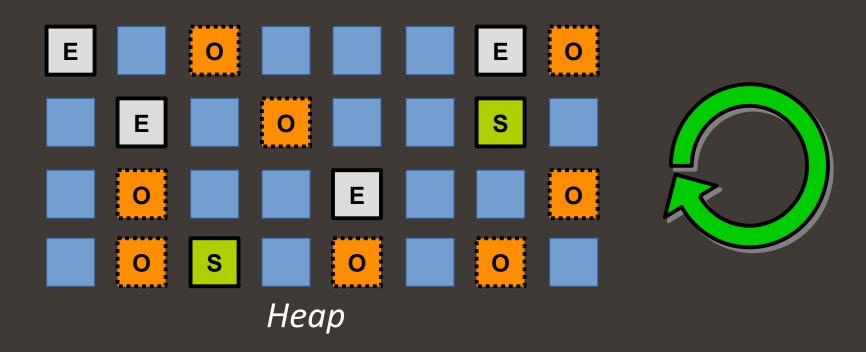
 If objects survive multiple young collections, then they are compactly copied into an old region (O)



After a while the heap fills up with eden, survivor and old regions

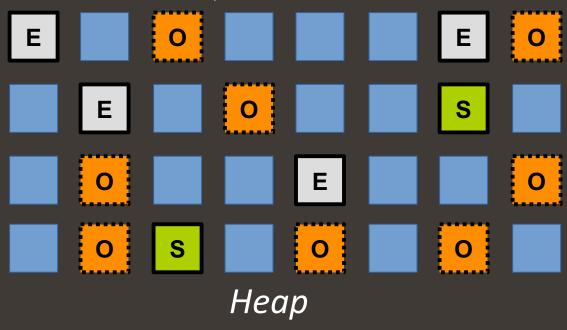


- All live objects in old regions are then marked concurrently
- The Java application is not stopped

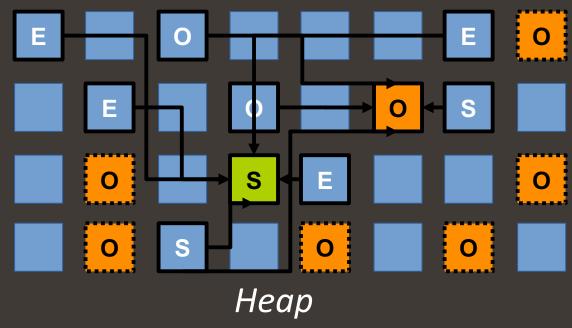




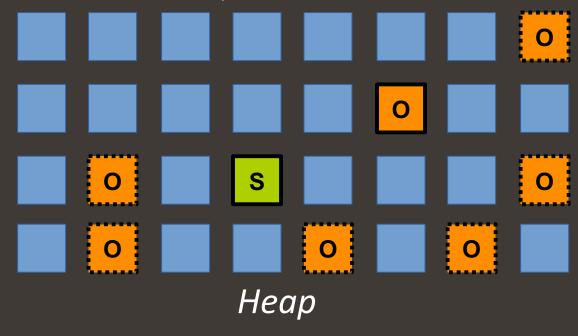
- Eden, survivor and old regions are then collected in mixed collections.
- Live objects are compactly copied into survivor and old regions.



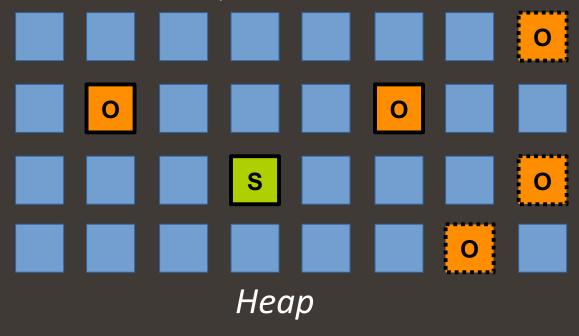
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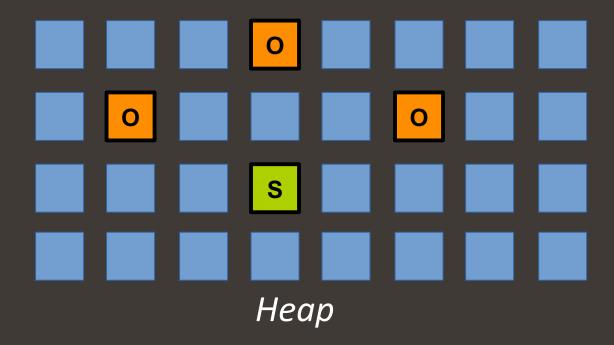
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- Eden, survivor and old regions are then collected in mixed collections.
- Live objects are compactly copied into survivor and old regions.



 When no more old regions are suitable for collection, then G1 will resume doing young collections



G1 enhancements since JDK 8

13 GC-related JEPs since JDK 8

• 5 related to G1

G1 enhancements since JDK 8

13 GC-related JEPs since JDK 8

- 5 related to G1
- ~1450 GC enhancements
 - ~699 related to G1

The JEPs represent only a small portion of the work going into the GC area.



G1 enhancements between JDK 8 and JDK 9

Latency

Memory

Throughput



G1 enhancements between JDK 8 and JDK 9

Fast Evacuation Failure Handling⁹

Optimize Evacuation⁹⁺

Latency

Ergonomic Thread Tuning⁹

Parallel Pretouch⁹

Parallelize

GC Phases⁹⁺

Heap

Resizing

Ergonomics⁹

Lazy Thread Initialization⁹

Lazy RemSet Initialization⁹

Memory

RemSet Space Reductions⁹

Archive regions CDS support⁹

Container Awareness⁹

Elastic TLAB⁹

Marking Scalability

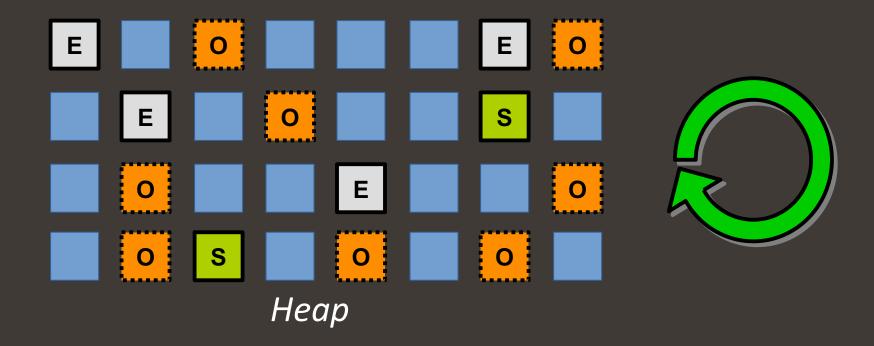
Throughput

Adaptive Mark Start⁹ Improved Refinement

Superscripts indicate JDK versions containing significant work in that area



Adaptive Mark Start/Initiating Heap Occupancy Percentage (IHOP)





G1 enhancements between JDK 9 and JDK 10

Fast Evacuation Failure Handling⁹

Optimize Evacuation⁹⁺

Latency

Ergonomic Thread Tuning⁹

> Parallel Pretouch⁹

Parallelize

GC

Phases⁹⁺

Lazy RemSet

Initialization⁹

Heap

Resizing

Ergonomics⁹

Lazy Thread

Initialization⁹

Memory

RemSet Space Reductions⁹

Archive regions CDS support⁹

Container Awareness⁹

Elastic TLAB9

Marking Scalability

Throughput

Parallel Full GC¹⁰

Adaptive Mark Start⁹

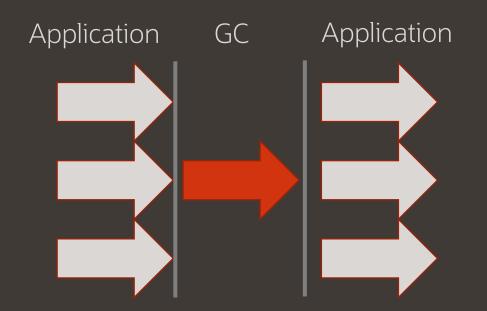
Improved Refinement

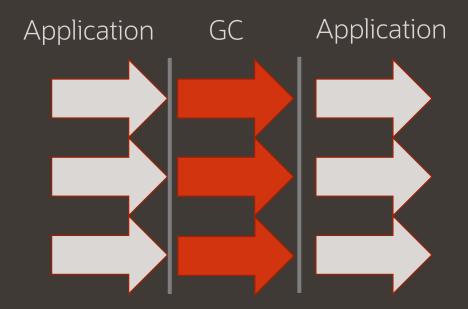
Superscripts indicate JDK versions containing significant work in that area



Parallel Full GC

Now uses the same number of parallel threads as young and mixed GCs







G1 enhancements between JDK 10 and JDK 11

Reference Precleaning¹¹ Fast Evacuation Failure Handling⁹

Optimize Evacuation⁹⁺

Latency

Rebuild RemSets On the Fly¹¹

Ergonomic Thread Tuning^{9,11}

Elastic

TLAB9

Parallel

Full

GC¹⁰

Parallel Reference Processing¹¹

> Parallel Pretouch⁹

GC Phases⁹⁺ Lazy

Parallelize

RemSet Initialization⁹

Heap Resizing Ergonomics⁹

Marking Scalability

Throughput

Adaptive Mark Start⁹ Improved Refinement

Lazy Thread

Initialization⁹

Memory

RemSet Space Reductions^{9,11} Archive regions CDS support⁹

Container Awareness^{9,11}

Marking Space Reductions¹¹

Superscripts indicate JDK versions containing significant work in that are



Rebuild Remembered Sets on the Fly

- Remembered sets are data structures that track references into a region
- Can occupy a significant amount of memory i.e. 20% of the total heap
 - Remembered sets for old regions are particularly large
- G1 maintains remembered sets for all regions
 - But only need remembered sets for old regions during Mixed GCs



Rebuild Remembered Sets on the Fly

- Dynamically rebuild remembered sets after the concurrent mark phase
- Only build remembered sets for regions in the collection set
- Improves both throughput and latency

G1 enhancements between JDK 11 and JDK 12

Reference Precleaning¹¹ **Fast Evacuation** Failure Handling9

Optimize Evacuation⁹⁺

Latency

Rebuild RemSets On the Fly¹¹

Ergonomic Thread Tuning^{9,11}

Parallel Reference Processing¹¹

Abortable Mixed GC¹²

> Parallel Pretouch⁹

Parallelize

Phases⁹⁺

GC

Lazy RemSet

Initialization⁹

Heap Resizing Ergonomics⁹

Lazy Thread

Initialization⁹

Memory

RemSet Space Reductions^{9,11}

Uncommit At Remark¹²

Old Gen On NVDIMM¹²

Archive regions CDS support⁹

Container Awareness^{9,11}

Marking Space

Reductions¹¹

Elastic TLAB9

Throughput

Marking

Scalability

Parallel Full GC¹⁰

Adaptive Mark Start⁹

Improved Refinement

Superscripts indicate JDK versions containing significant work in that area



Abortable Mixed GCs

- G1 attempts to avoid exceeding the pause time target by using heuristics to select a collection of regions that can be collected within the given time
- Once started, all the selected regions must be collected
- Can exceed the pause target if the collection set is too large



Abortable Mixed GCs

- Split the collection set into mandatory and optional regions
- Mandatory regions are always collected
- Optional regions can be processed incrementally until there is no time left

G1 enhancements between JDK 12 and JDK 13

Reference Precleaning¹¹ Fast Evacuation Failure Handling⁹

Optimize Evacuation⁹⁺

Latency

Rebuild RemSets On the Fly¹¹ Ergonomic Thread Tuning^{9,11}

Eliminate Locks¹³⁺

Elastic TLAB⁹

Parallel Full GC¹⁰ Parallel Reference

Processing¹¹

Abortable Mixed GC^{12,13}

Parallel Pretouch⁹

Parallelize GC

Phases⁹⁺

Lazy RemSet Initialization⁹

Heap Resizing Ergonomics⁹

Marking Scalability

Throughput

Improved Refinement Lazy Thread

Initialization⁹

Old Gen On NVDIMM¹²

Archive regions CDS support⁹

Memory

Container Awareness^{9,11,13}

RemSet Space Reductions^{9,11,13}

Uncommit At

Remark¹²

Marking Space

Reductions¹¹

Superscripts indicate JDK versions containing significant work in that area



G1 performance improvements between JDK 8 and JDK 14

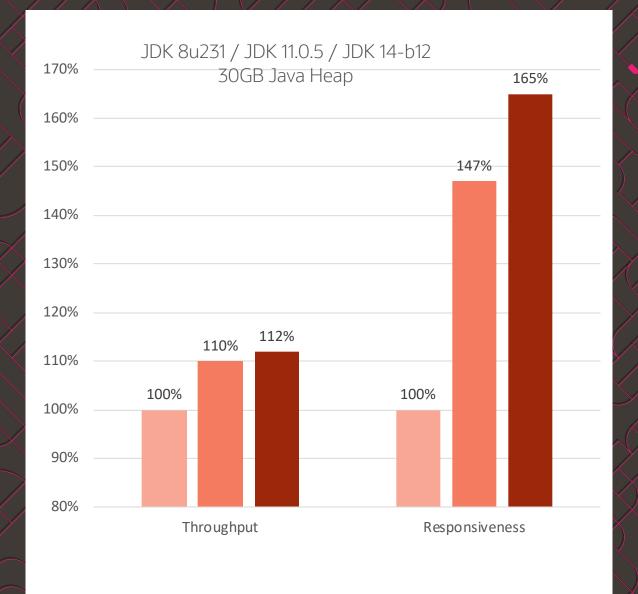
Heap Size: 30GB

OS: Oracle Linux 7.4

HW: Intel Xeon E5-2690 2.9GHz

2 sockets, 8 cores

- 12% improvement in maximum throughput between JDK 8 and JDK 14
- 65% improvement in responsiveness



G1 enhancements JDK 14 and beyond

Predictions

Improved RemSet Scan

RemSet Storage

Latency

Memory

Reduce Barrier overhead

Throughput

Improved NUMA support





ZGC

ZGC - A Scalable Low-Latency Garbage Collector

TB

Multi-terabyte heaps

10_{ms}

Max GC pause time



Easy to tune

15%

Max application throughput reduction



ZGC at a Glance

Concurrent
Tracing
Compacting
Single generation

Region-based NUMA-aware Load barriers Colored pointers

ZGC pause times <u>do not</u> increase with the heap or live-set size

ZGC pause times <u>do</u> increase with the root-set size

(Number of Java Threads)

Auto-tuning

Design: No knobs until proven differently!



Application Threads (aka. "Mutator Threads")

GC Threads

Allocate memory (new)

Generate garbage

Collect garbage

Free up memory for allocation

Allocation Rate > Collection Rate - Allocation Stall



Generate less garbage

Collect garbage faster



Generate less garbage

Collect garbage faster

Avoid allocating objects
Run application more slowly
JIT compiler optimizations



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Speed up GC implementation

Use more GC threads

Have application threads help out

Use more memory



"Barriers" – GC Callbacks

Barriers

Small piece of code injected by the JVM

Executed when accessing/updating an object

Store / Load Barriers (aka. Write / Read)

Store: Executed when storing a reference to the Java heap

Load: Executed when reading a reference from the Java heap



Use of Barriers in GCs

Existing GCs make use of store (write) barriers

True for G1, Parallel, Serial, CMS

Helps speed up generational support

ZGC uses load barriers

Mutator threads take on some additional work



```
String name = person.name;
<load barrier>
String copy = name;
                                // No barrier
name.isEmpty();
                                 // No barrier
                                // No barrier
int age = person.age;
                    Person
                    String name; -
                                        String
                         age;
                    double height;
```

```
String name = person.name;
<load barrier>
```

```
String name = person.name;
<load barrier>
```

```
String name = person.name;
if (!good(name)) {
    name = slow_case(name);
}
```

```
String name = person.name;
if (!good(name)) {
    name = slow_case(name);
}
```

Where to place the good/bad information? In object? GC side structure?



Colored Pointers

Modern machines use 64-bit addresses/pointers

Exception: Compressed oops

Object pointers stored as 32-bit "indexes"

Colored Pointers

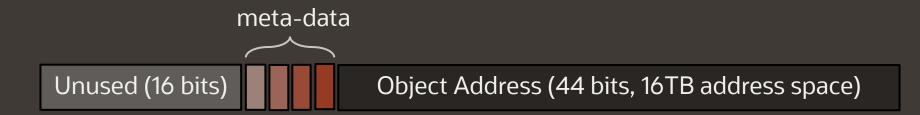
Modern machines use 64-bit addresses/pointers

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For ZGC

References (object pointers) are always 64 bit





Colored Pointers

Modern machines use 64-bit addresses/pointers

Exception: Compressed oops

Object pointers stored as 32-bit "indexes"

For ZGC

References (object pointers) are always 64 bit

Only 64-bit platforms

No compressed oops

Unused (16 bits)

Object Address (44 bits, 16TB address space)



Load Barrier Using Colored Pointers



Load Barrier Using Colored Pointers

~4% execution overhead



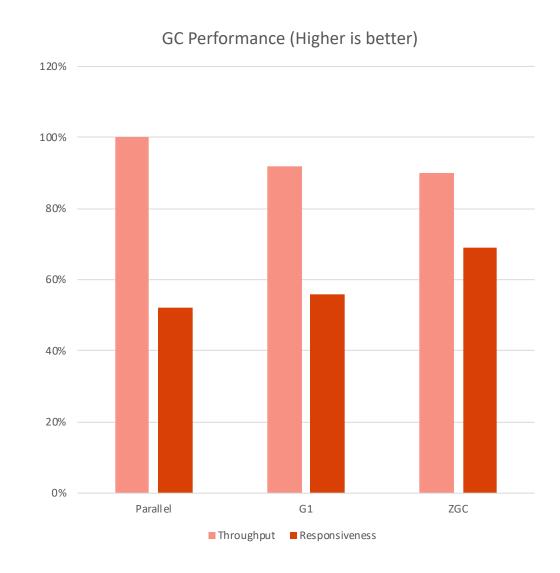
GC Performance

Heap Size: 128GB

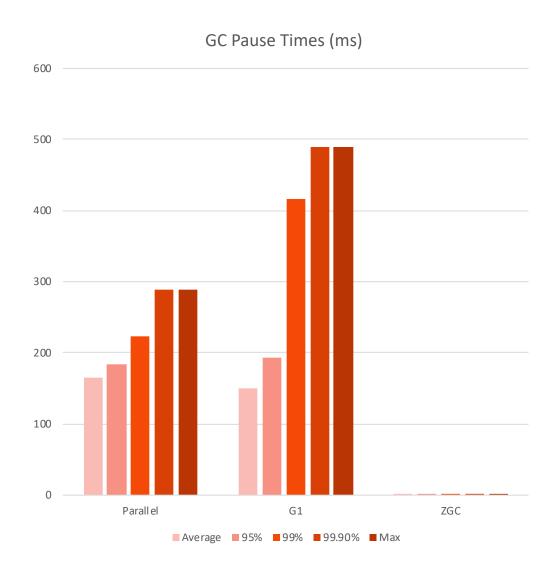
OS: Oracle Linux 7.5

HW: Intel Xeon E5-2690 2.9GHz

2 sockets, 16 cores (32 hw-threads)

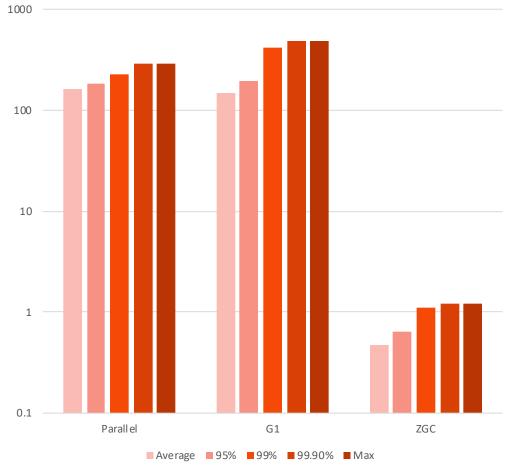


GC Pause Times



GC Pause Times (Logarithmic Scale)





Using ZGC (JDK 11+)

-XX:+UnlockExperimentalVMOptions

-XX:+UseZGC

Tuning options

Look out for Allocation Stalls

Option #1: Increase the max heap size

-Xmx<size>

Trade memory for better latency

Option #2: Increase number of GC threads

-XX:ConcGCThreads=<number>

Trade CPU-time for better latency



Status/Recent Improvements

JDK 11

First JDK to include open sourced ZGC (Experimental)

Status/Recent Improvements

JDK 11

First JDK to include open sourced ZGC (Experimental)

JDK 12

Concurrent class unloading

Thread-local handshakes

Status/Recent Improvements

JDK 11

First JDK to include open sourced ZGC (Experimental)

JDK 12

Concurrent class unloading

Thread-local handshakes

JDK 13 – Released **hours** ago!

Max heap size increased to 16 TB (was: 4 TB)

Uncommit unused memory

Linux/aarch64 port



Next up: Productization

```
Stability
Super late barrier expansion
Support additional platforms
macOS, Windows, ...
```



Potential Future Work

Generational support

Leverage "Weak generational hypothesis"

Manage higher allocation rates

Reduce CPU utilization

Segmented Array Clearing

Chasing Sub-millisecond max pause times

Concurrent thread stack scanning

Additional latency improvements

Low latency VM



Further Reading

G1 links

- GC Tuning Guide
 - https://docs.oracle.com/en/java/javase/12/gctuning/garbage-first-garbagecollector.html

ZGC links

- ZGC wiki
 - https://wiki.openjdk.java.net/display/zgc/Main
- GC Tuning Guide
 - https://docs.oracle.com/en/java/javase/12/gctuning/z-garbagecollector1.html





Thank You